

TABLE OF CONTENTS

About the Authors	ix
Preface	x
Acknowledgements	xi
Abbreviations and Acronyms	xii
Part A General Information	1
Chapter 1 Introduction	3
Chapter 2 Instructions to Candidates for Preparing Their Answers	4
2.1 General provisions	4
2.2 Paper D	4
2.2.1 Part I of Paper D	4
2.2.2 Part II of Paper D	4
2.3 Grading	5
Chapter 3 Notes on marking Paper D	6
3.1 Marking schedule	6
3.2 What is "fit to practise"?	6
3.3 Part I of Paper D	6
3.3.1 Marking Part I	7
3.4 Part II of Paper D	7
3.4.1 Use of a time line in Part II	7
3.4.2 No legal basis in Part II	7
3.4.3 Marking Part II	8
3.5 Sundry issues	8
3.5.1 Starting with Part I or Part II?	8
Chapter 4 What to Learn from the Examiners' Reports on Paper D	9
4.1 General matters	9
4.2 Part I of Paper D	10
4.3 Part II of Paper D	11
Chapter 5 Training Material	13
5.1 EPC	13
5.2 EPO Brochures	13
5.3 WIPO	14
5.4 PCT - EPO	14
5.5 Study books	14
5.6 Booklets with questions and answers for Part I	14
Part B How to Solve a Question of Part I and Formulate your answer	15
Chapter 6 Part I of Paper D - Legal Questions	17
6.1 Step 1: Get a full picture of the situation	18
6.1.1 Keywords	18
6.1.2 Dates in questions	20
6.1.3 Example question - keywords	21
6.2 Step 2: Read the question	22
6.2.1 Explicit/implicit questions	23
6.2.2 Example question - read the question	23
6.3 Step 3: Analysis of the situation	24
6.3.1 Translate the situation into legal provisions	24
6.3.2 Answer the abstract question	25

6.3.3	Link the answer to the abstract question back to the facts of the situation	25
6.3.4	Example question: core of the question	25
6.4	Step 4: Answer the question	27
6.4.1	Citing legal basis	28
6.4.2	Example question: answer	28
6.5	Step 5: Final check	29
6.5.1	Example question: did we miss something?	29
Chapter 7	Another Part I Example Question	30
7.1	Step 1: Get a full picture of the situation	30
7.2	Step 2: Read the question	32
7.3	Step 3: Analysis of the situation	32
7.3.1	Formulate the abstract question	33
7.3.2	Where to find the answer?	33
7.4	Step 4: Answer the question	34
7.5	Step 5: Final check	35
Part C	How to Deal with Part II and Formulate your answer	37
Chapter 8	Part II of Paper D - Introduction	39
8.1	Crucial issues	40
8.2	Typical task	41
8.3	Grading	42
8.4	Writing style	42
Chapter 9	Methodology for Part II of Paper D	44
9.1	Step 1: Analysis of Part II	44
9.1.1	Today	44
9.1.2	Reading style	45
9.1.3	Tools assisting the analysis	45
9.1.4	The client	46
9.1.5	Your task in Part II	46
9.1.6	Product chart	47
9.1.7	Time line	48
9.1.8	Subject-matter table	50
9.2	Step 2: Solve the crucial issues	51
9.2.1	Example of how to deal with a crucial issue	52
9.3	Step 3: Describe the patentability situation as is	53
9.3.1	List of events	54
9.3.2	Patent situation	54
9.3.3	Examples of describing the patent situation	55
9.4	Step 4: Give advice to the client	55
9.4.1	Issues to be discussed	56
9.4.2	Examples of advising your client	56
9.5	Step 5: Suggest improvements	57
9.5.1	Business relations	57
9.5.2	Freedom to operate	57
9.5.3	Actions and advice	57
9.5.4	Effect on the business of your client	59
9.5.5	Examples of suggestions for improvement	59
9.6	Final remarks	60
Part D	Recurring Issues and Checklists	61
Chapter 10	Priority Issues	63
10.1	Purpose of claiming priority	63

10.1.1	Effective date	63
10.2	Paris Convention	63
10.3	Priority in the Patent Cooperation Treaty	64
10.3.1	Restoration of the right to priority	64
10.3.2	Certified copy of the priority application	65
10.3.3	Addition or correction of a priority claim	65
10.4	Priority in the European Patent Convention	65
10.4.1	Re-establishment of rights in the priority period	66
10.4.2	Certified copy and translation of the priority application	66
10.4.3	Priority and prior rights	66
10.4.4	The same invention	67
10.4.5	Successor in title	69
10.4.6	Transfer of the priority application or the right to priority	69
10.4.7	The first application	71
10.4.8	Fate of the priority application	73
Chapter 11	US Patent Applications	74
11.1	US continuation application	74
11.2	US continuation-in-part application	74
11.3	US provisional application	74
11.4	Grace period	74
Chapter 12	Dependent Patents	75
12.1	Advice from the examination committee	75
12.2	Example sentences in relation to dependent patents	75
Chapter 13	Licensing Agreements	77
13.1	Cross-licensing agreement	77
13.2	Contractual licensing	77
13.4	Example	77
Chapter 14	Checklists	79
14.1	Filing a new application: What/when/how/where	79
14.1.1	Subject-matter	79
14.1.2	Medical claims	79
14.1.3	Transfer of a European patent application	80
14.2	Fees	80
14.3	Recovery of time periods	81
14.4	Further processing	81
14.5	Re-establishment of rights	82
14.6	Interruption of proceedings	82
14.7	Observations by third parties	83
14.7.1	EPC	83
14.7.2	PCT	83
14.8	Entry from the international phase into the EP regional phase	84
14.8.1	Early processing	84
14.8.2	Euro-PCT application deemed to be withdrawn	85
14.8.3	Filing amendments and supplementary European search	85
14.9	Divisional applications	86
14.10	Seeking entitlement to a European patent application	86
14.10.1	Proceedings before a national court and stay of proceedings	86
14.10.2	Options under Article 61(1) EPC	87
14.11	Opposition and intervention	88
14.11.1	Opposition	88
14.11.2	Intervention of the assumed infringer	88

14.12	Limitation	89
14.13	Revocation	89
14.13.1	Revocation before the EPO	89
14.13.2	Revocation in a contracting state	89
14.14	Appeal	90
14.14.1	Example	90
14.15	PCT review and excuse procedure	91
Part E Analysis and Solution of Part II of Paper D of 2013		93
Chapter 15 Part II of EQE Paper D of 2013		95
15.1	Analysis using the Stimulus-Word Technique	96
15.2	Time line	104
15.3	Analysis	105
15.3.1	Analysis of patent situation for frying pans	105
15.3.2	Analysis of the opposition against EP-HEMI	108
15.3.3	Advice to client	109
15.4	Crucial issues	111
15.5	Marking sheet	112
15.6	Possible solution (from the Compendium - adapted)	113
15.7	Examiners' report (from the Compendium - adapted)	116
Part F Tables of Legislation		119
Chapter 16 References to EPC Articles and Rules		121
Chapter 17 References to PCT Articles and Rules		129
Chapter 18 Cross-References between Provisions Relating to Priority in the EPC and the Paris Convention		132
Part G Indexes of Articles, Rules and Case Law; Keyword Index		133
Chapter 19 Indexes		135
18.1	EPC Articles	135
18.2	EPC Implementing Regulations	136
18.3	PCT Articles	137
18.4	PCT Rules	137
18.5	Paris Convention	137
18.6	G Decisions/opinions	138
18.7	J Decisions	138
18.8	T Decisions	138
Chapter 20 Keyword Index		139
Ordering Information		145